

THEBE STOCKBROKING (PTY) LTD
FEES AND BROKERAGE SCHEDULE

EQUITIES TRADING BROKERAGE SCALE		(Excluding VAT)	
DEAL CONSIDERATION	Non-discretionary	Tailor-Made Discretionary	Full discretionary (High yield, Dynamic Core, Active Growth and Shariah portfolios) 0.80% flat rate
0 – 10 000	1.30%	1.30%	
10 000.01 – 30 000	1.20%	1.20%	
30 000.01 – 50 000	1.10%	1.10%	
50 000.01 – 100 000	1.00%	1.00%	
100 000.01 – 500 000	0.90%	0.90%	
Greater than 500 000.01	0.85%	0.85%	
Basic charge	R125.00	R125.00	R0
Minimum investment	R1	R100 000	R 100 000

PORTFOLIO MANAGEMENT FEE		(Excluding VAT)	
High yield, Dynamic Core, Active Growth, Shariah and tailor-made portfolios	1.0%		

KICK START (TFSA) BROKERAGE SCALE	
DEAL CONSIDERATION	
Flat rate	0.25%

KRUGER RAND BROKERAGE SCALE		(Excluding VAT)	
Deal Consideration	Brokerage		
0 - 10 000	1.50%		
10 000 - 30 000	1.25%		
Greater than 30 000.01	1.00%		
Basic charge of R300.00 per transaction and a handling fee of R50.00 per coin			

ONLINE TRADING BROKERAGE SCALE		(Excluding VAT)	
DEAL CONSIDERATION	Brokerage		
0 – 100 000	0.75%		
Greater than 100 001	0.50%		
Minimum charge	R 125.00		

STATUTORY CHARGES AND TAXES		(Excluding VAT)	
<i>Securities transfer tax of 0.25% of consideration on equity purchases</i>			
<i>Investor protection Levy of 0.0002% of consideration</i>			
<i>Ad valorem STRATE fee with a minimum of R11.58 and a maximum of R57.87 per contract note</i>			

AUXILIARY CHARGES		(Excluding VAT)	
Opening fee - Once off account		R 307.00	
Monthly account admin fee		R 52.15	
Monthly online account admin fee		R 47.50	
Monthly Kick Start account admin fee		R 10.00	
Cash deposit penalty fee >R5 000		R 400.00	
Cash deposit fee		as levied by Thebe bankers	
Other Service Charges			
Share valuations for Estate duty purposes		R 310.00	
Lost scrip applications		R 500.00 + Transfer secretary charges	
Dematerialisation - Standard		as levied by CSDP	
Dematerialisation - Urgent		as levied by CSDP	
Re-materialisation per certificate		as levied by CSDP	
Portfolio transfer to external parties (charged per counter)		R 125.00	
Portfolio Transfer – Outsource Fee		R 260.00	
Request for copy statements - Dating back more than 3 months		R 30.00 per statement	
Request for copy brokers notes - Dating back more than 3 months		R 10.00 per page	
Audit confirmation reports		R 300.00	